

D-17-6

PATENT

I hereby certify that on the date specified below, this correspondence is being deposited with the United States Postal Service as first-class mail in an envelope addressed to Mail Stop Petition, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

April 7, 2006

Date '

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants :

Gregory Brown and Marcellino Tanumihardja

Application No.

: 09/894,278

Filed

: June 27, 2001

Title

MANAGED MESSAGING PLATFORM

Confirmation No.

5239

Examiner

Liang-che Alex Wang

Art Unit

2155

Docket No.

0805-003-003-SEED

Mail Stop Petition Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

PETITION TO WITHDRAW HOLDING OF ABANDONMENT BASED ON FAILURE TO RECEIVE OFFICE ACTION UNDER 37 CFR 1.181(a)

Sir:

Pursuant to MPEP §711.03(c)(I)(A), Applicant Entity (hereinafter Applicant) hereby requests that the Notice of Abandonment of 31 January 2006 be withdrawn. Applicant makes this request because Applicant never received an Office Action allegedly sent by the USPTO on 27 May 2005.

- I. Applicant hereby declares that Applicant never received the 27 May 2005 Office Action, and bases that declaration on at least the following:
 - A. According to the declaration of Susan Bollinger, Intake Manager of Berkeley Law and Technology Group, Berkeley Law and Technology Group acquired a physical file, having Seed docket number 360044.403¹, from Seed Intellectual Group on or about 31 May 2005. See Susan Bollinger's Declaration (attached), Exhibit 1 highlighted line 3 (referring to a copy of an internal Inventory Log indicating when this file was received).
 - B. According to the declaration of Susan Bollinger, Intake Manager of Berkeley Law and Technology Group, an inventory of the file associated with Seed docket number 360044.403, was conducted on or about 02 June 2005. At that time the file did not include the 27 May 2005 Office Action. See Susan Bollinger's Declaration (attached), Exhibits 2A² and 2B³.
 - C. Subsequent to the undersigned's office receiving the file from Berkeley Law and Technology group, on or about 07 October 2005, our office took that material and created a new file, internal to our organization, and stamped that file with docket number 0805-003-003-SEED. *See Jennifer Badley's Declaration* (attached).
 - D. A search of the file jacket and docketing record, for our internal docket number 0805-003-003-SEED, was conducted on 07 February 2006. At that time the 27 May 2005 Office Action was not present in the file. See Jennifer Badley's Declaration (attached).
 - D. The undersigned's docket record for docket number 0805-003-003-SEED (copy attached) does not show an entry of the 27 May 2005 Office Action. As proof of the foregoing a copy of the undersigned's docket

¹ This file was eventually reassigned the docket number appearing in the caption of the instant Petition.
² (Exhibit 2A) a print screen of a Microsoft Word folder entitled "09-894278" of the scanned file contents,

² (Exhibit 2A) a print screen of a Microsoft Word folder entitled "09-894278" of the scanned file contents, (Note that Susan Bollinger declares that on the print screen the shown "Office Action form" does not refer to the Office Action mailed on 27 May 2005, but instead refers to the Restriction Requirement of 06 December 2004).

³ (Exhibit 2B) an internal table entitled "Sienna Group Intake Report" with relevant lines 7 and 8 highlighted, which indicate the status of the file at the time it was inventoried.

record for docket number 0805-003-003-SEED, of 27 August 2005, which would include an entry for the three-month date for the response to the 27 May 2005 Office Action if it had in fact been received by Applicant, is attached. This is "a copy of the docket calendar showing all replies docketed for a date three months from the [alleged] mail date of the non received Office Action". See Jennifer Badley's Declaration (attached), Exhibit 1A.

e. The undersigned's docket record for docket number 0805-003-003-SEED does not show an entry of the 27 May 2005 Office Action. As proof of the foregoing a copy of the file index for internal docket number 0805-003-003-SEED, which would include entries for the receipt of the 27 May 2005 Office Action and its 27 August 2005 due date, if the 27 May 2005 Office Action had been received, is also attached. *See Jennifer Badley's Declaration* (attached), *Exhibit 1B*.

SUMMARY

As referenced above, the undersigned has no record of receiving the Office Action dated 27 May 2005. Accordingly, Applicant submits that it never received the Office Action from the Patent Office and that no fee for this petition is necessary. See MPEP §711.03(c)(I). Applicant further requests that the USPTO Withdraw the Holding of Abandonment Based on Failure to Receive the Office Action and further requests a new Office Action for the subject application since the original was not received by the Applicant or its legal representative.

The Examiner is invited to contact the undersigned at (425) 467-2260 with any issues that may advance prosecution of the application on the merits.

Respectfully submitted,

Dale Cook Attorney

Registration No. 42,434

DRC:jmb

Enclosures:

Postcard

Supporting Documentation:

Susan Bollinger Declaration "Exhibit A"
With attached exhibits 1 and 2
Jennifer Badley Declaration "Exhibit B"
With attached exhibits 1 and 2

Searete LLC 1756 – 114th Ave. S.E., Suite 110 Bellevue, WA 98004 (425) 467-2300 (425) 467-2351 facsimile

http://isf_share/shared documents/Patents Filed-Active Drafts/Data Architecture-003/0805-003-003-SEED ManagedMessagingPlatform/Petition re Abandonment.doc



DECLARATION OF SUSAN BOLLINGER

I, Susan Bollinger, Intake Manager for Berkeley Law and Technology Group, hereby attest that on or about 31 May 2005 the physical file for application number 09/894,278, having Seed docket number 360044.403, was received from Seed Intellectual Group. See herein attached as Exhibit 1: Highlighted line 3 of internal inventory log "Sienna Group Inventory". I attest that on or about 02 June 2005 an inventory of the contents of the physical file for Seed docket number 360044.403 was conducted. See herein attached as Exhibits 2A – 2B: (2A) A print screen of a Microsoft Word folder entitled "09-894278" of the scanned file contents. (Note that the "Office Action form" on the print screen does not refer to the Office Action mailed on 27 May 2005, but instead refers to the Restriction Requirement of 06 December 2004); (2B) Highlighted lines 7 and 8 of an internal table entitled "Sienna Group Intake Report". I further attest that on the basis of the foregoing and on my personal knowledge that the Office Action allegedly mailed on 27 May 2005 was not present in the file. The above information is true and correct to the best of my knowledge.

DATED this 7th day of Opril, 2006.

Susan Bollinger

Intake Manager

Berkeley Law and Technology Group

sen Bollings

http://isf_share/shared documents/Patents Filed-Active Drafts/Data Architecture-003/0805-003-003-SEED ManagedMessagingPlatform/Declaration-SusanBollinger.doc

EXHIBIT 1

page 1 last printed 2/7/2006



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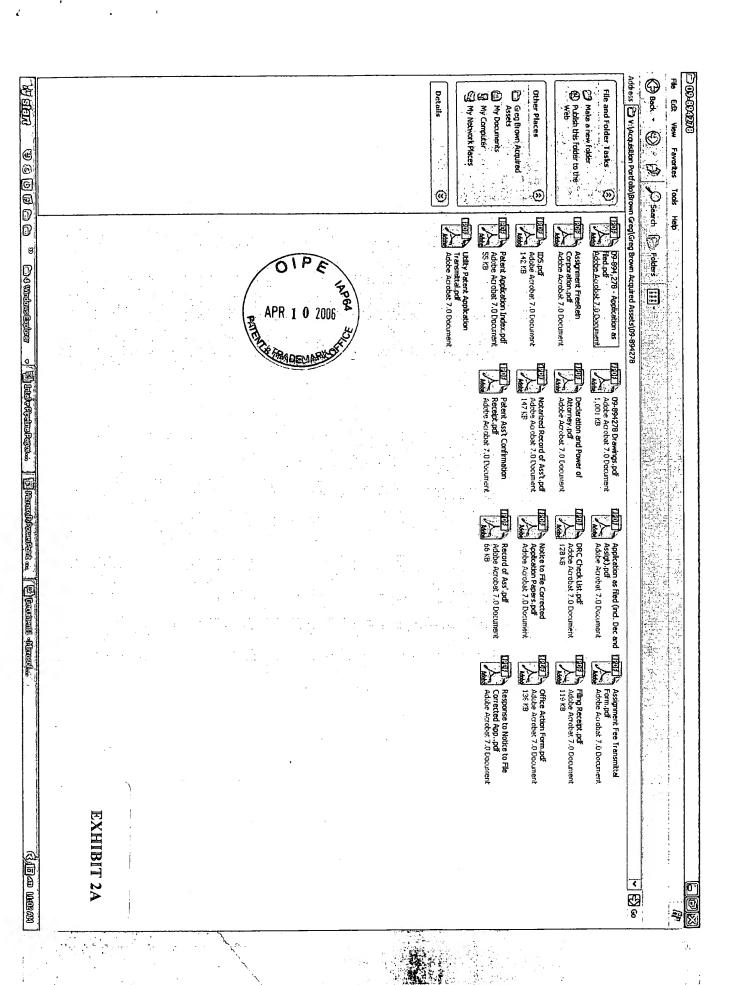


Exhibit 2B

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DECLARATION OF JENNIFER BADLEY

I, Jennifer Badley, hereby attest that on or about 07 October 2005, a new file, internal to our organization, was created and stamped with the docket number 0803-003-003-SEED, from the material of the file associated with Seed docket number 360044.403. I further attest that on 7 February 2006, I conducted a search of the file jacket and docketing record for application number 09/894,278, having internal docket number 0805-003-003-SEED and confirmed that the 27 May 2005 Office Action was not received. See attached herein, as Exhibit 1A, a true and correct copy of the docket record of 27 August 2005, which would include an entry for the three-month due date for the response to the Office Action if it had in fact been received; and Exhibit 1B, a true and correct copy of the file index for docket number 0805-003-003-SEED, which would include entries for the receipt of the 27 May 2005 Office Action and its 27 August 2005 due date, if the Office Action had been received. I further attest that on the basis of the foregoing and on my personal knowledge that the Office Action allegedly mailed on 27 May 2005 was not present in the file. The above information is true and correct to the best of my knowledge.

DATED this day of April, 2006.

Jennifer Badley

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August 27, 2005 Saturday



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Exhibit 1A

USPTO FILE INDEX:

Docket #:

0805-003-003-SEED

360044.403

Date Filed:

June 27, 2001

TITLE:

MANAGED MESSAGING PLATFORM

Inventors:

Gregory Brown and Marcellino Tanumihardja

USAN:

09/894,278

Examiner:

Liang Che A. Wang

Telephone: (#)

Art Unit:

2155

Confirmation #: 5239

File History: Provisional App. 60/282,381 filed on April 5, 2001

Assignment Recorded on:

June 27, 2001 at Reel 011946, Frame 0546 to Freerein Corporation

April 22, 2005 at Reel 015937, Frame 0330 to Gregory Brown

Utility Application (71 pages); 42 sheets of drawings (35 Figures); w/Dec., POA, and Assignment; Request NOT o publish Notice to File Corrected Application Papers (Drawings) Filing Receipt (Correct) Response to Notice to File Correct Application Papers; Substitute Drawings; and Request for Drawing Change	08/17/01 08/17/01	10/17/01	06/27/01
Figures); w/Dec., POA, and Assignment; Request NOT o publish Notice to File Corrected Application Papers (Drawings) Filing Receipt (Correct) Response to Notice to File Correct Application Papers;		10/17/01	
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Response to Notice to File Correct Application Papers;	08/17/01		
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Substitute Drawings; and Request for Drawing Change	•		
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DS; PTO-1449; 3 cited references			09/19/01
Notice of Recordation of Assignment; PTO-1595; and			
Assignment	09/12/01		
Updated Filing Receipt	10/11/01		
Restriction Requirement	12/06/04	01/06/05	
Check; PTO/SB/21; PTO/SB/17; PTO/SB/22 and			04/06/05
Response to Restriction Requirement			
Assignment Filed Electronically		<u></u>	04/22/05
Notice of Recordation of Assignment	04/25/05		
Postcard; Check; Form PTO-1595 Assignment			01/04/06
Recordation Cover Sheet; Copy of Assignment			
Postcard; Post-Filing Transmittal; Statement Under 37			01/04/06
CFR 3.73(b); Power of Attorney to Prosecute			·
Applications Before the USPTO; Assignment of Patent			
Rights			
Notice of Acceptance of Power of Attorney	01/12/06		
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